

**NONPROFIT ORGANIZATION****VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**

(37 C.F.R. 1.27(b), 1.27(c)(2)(ii))

I hereby declare that I am identified as an inventor of the subject matter of the application identified herein, at the nonprofit organization identified below:

NAME OF ORGANIZATION: Parker Hughes Institute
 ADDRESS OF ORGANIZATION: 2699 Patton Road
 Roseville, MN 55113

TYPE OF ORGANIZATION:CHECK ONEa) ☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATIONb) ☒ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) AND 501(c)(3))c) ☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA -- (NAME OF STATE __)

(CITATION OF STATUTE __)

d) ☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) AND 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICAe) ☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA -- (NAME OF STATE __)

(CITATION OF STATUTE __)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. 1.9(e) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in regard to the invention, entitled GEL-MICROEMULSION FORMULATIONS, by inventors Seang Yiv, Mingshu Li, Osmond D'Cruz and Fatih M. Uckun described in

- a) ☐ the specification filed herewith.
 b) ☐ provisional application serial no. _____ filed _____.
 c) ☒ non-provisional application serial no. 097957,434 filed September 19, 2001.
 d) ☐ patent no. __, issued __.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereof, or any patent to which this verified statement is directed.

NAME: Fatih M. Uckun
 TITLE:
 ADDRESS: Parker Hughes Institute
 2699 Patton Road
 Roseville, MN 55113

SIGNATURE: _____

DATE: 12-3-01